



**Center for Medicaid and CHIP Services**

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DEC 04 2014

Mr. Jason Helgerson  
Medicaid Director/Deputy Commissioner  
New York Department of Health  
Empire State Plaza, Corning Tower, Room 1466  
Albany, NY 12237

Dear Mr. Helgerson,

We are writing to discuss recent changes made to home and community-based services (HCBS) regulations that include implications for your §1115 Demonstration, the New York Partnership Plan. Amendments were made effective March 17, 2014 under 42 CFR Part 441.301, 441.302, and 441.304 to regulations regarding home and community-based services under §1915(c) of the Social Security Act. Because your §1115 Demonstration refers to the 1915(c) authority for HCBS services, and because there is not a waiver of this authority in your Demonstration, your program must comply with these regulatory changes.

The key components of relevance to your demonstration include:

1. Regulatory changes to Person-Centered Planning found at 42 CFR 441.301(c)(1), (2) and (3)
2. Regulatory changes to what comprise home and community-based (HCB) settings found at 42 CFR 441.301(c)(4), and (5), and
3. Regulations on how transition plans may be used to bring HCB settings into compliance found at 42 CFR 441.301(c)(6)

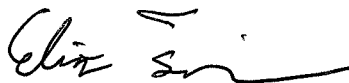
Further information about the requirements and some helpful tools can be found at <http://www.medicaid.gov/medicaid-chip-program-information/by-topics/long-term-services-and-supports/home-and-community-based-services/home-and-community-based-services.html>, and Technical Assistance is available upon request.

For purposes of your §1115 Demonstration, we are requiring that you address the application of the HCBS regulation to all HCBS services provided through the Demonstration in your statewide transition plan due by March 17, 2015. You are not required to submit a §1115-specific transition plan, unless your §1115 is the only HCBS authority in your state. If that is the case the §1115-specific transition plan is due by March 17, 2015. If you have an earlier due date for your Statewide Transition Plan (due to having an earlier 1915(c) renewal or amendment), you may choose whether you will include the §1115 services in the earlier Statewide Plan, or amend the Statewide Transition Plan by March 17, 2015 to include those services.

Please contact your §1115 Project Officer, Jessica Woodard at 410-786-9249 or [Jessica.Woodard@cms.hhs.gov](mailto:Jessica.Woodard@cms.hhs.gov) if you have questions regarding this letter. For questions related to compliance with the final HCBS regulation, please contact Dianne Kayala at 410-786-3417 or

[Dianne.Kayala@cms.hhs.gov](mailto:Dianne.Kayala@cms.hhs.gov). We look forward to working with you on the implementation of these important provisions for some of our most vulnerable beneficiaries.

Sincerely,

A handwritten signature in black ink, appearing to read "Eliot Fishman". The signature is fluid and cursive, with a long horizontal stroke at the end.

Eliot Fishman

Director, Children and Adults Health Programs Group